

Appendix 1

Decisions taken in relation to Allegations against Borough Councillors & Parish Councillors under the Arrangements for dealing with Allegations of Misconduct 1 January 2016 to 31 December 2016.

File ref.	Borough or Parish Councillor	Relevant Parts of Code of Conduct	Decision	Comments	Complainant	Date complaint received	Date of Decision
1471 (premature) and 3104 (assessment)	Parish	Paras 11 to 13: Non-declaration of Disclosable Pecuniary Interest ("DPI") and participation in decision making with a DPI.	No Further Action ("NFA")	Initial Assessment ("IA") concluded that there was no evidence that there was a potential breach of the Code of Conduct ("CoC").	Public	2.2.16	7.4.16
3207, 3208 and 3209 (assessment and investigation)	Borough	Para 2(1): Treat others with respect. Para 4: Bringing the Council and/or office into disrepute	Referred for investigation. Local resolution.	Apology provided.	Public	7.4.16	5.12.16
3337 (assessment)	Borough	Para 4: Bringing the Council into disrepute etc.	NFA	IA concluded that the complaint did not relate to actions taken as a councillor	Public	18.5.16	2.6.16

File ref.	Borough or Parish Councillor	Relevant Parts of Code of Conduct	Decision	Comments	Complainant	Date complaint received	Date of Decision
3329 (assessment)	Borough	<p>Para 1(1)(2): Lack of Objectivity - discrimination / bias</p> <p>Para 2(1) Failure to treat others with respect</p> <p>Para 2(2)(b): Bullying</p> <p>Para 2(2)(d): Compromising the impartiality of the Council</p> <p>Para 4: Bringing the Council into disrepute etc.</p>	NFA	<p>IA concluded that:</p> <ul style="list-style-type: none"> - there was nothing inherently discourteous or threatening in the actions of the Cllr - comments made by the Cllr were consistent with his/her role; and/or - any breach of the CoC was insufficiently serious to warrant further action 	Public	20.5.16	15.7.16
3333 (assessment)	Parish	Para 2(2)(b): Bullying	Local resolution	IA sought a local resolution of the matter. Apology provided.	Councillor	25.5.16	7.10.16
3461 (assessment and investigation)	Borough	Para 3(a): Disclosure of confidential information	Pending	Following IA the matter was referred for an investigation.	Councillor	9.7.16	Awaited.
2937 (assessment)	Borough	Para 2(1): Failure to treat others with respect.	NFA	IA concluded that there was insufficient information of breach of confidentiality and	Councillor	17.9.15	26.2.16

File ref.	Borough or Parish Councillor	Relevant Parts of Code of Conduct	Decision	Comments	Complainant	Date complaint received	Date of Decision
		<p>Para 3(a): Disclosure of confidential information</p> <p>Para 4: Bringing the Council into disrepute</p>		use of intemperate language to justify further action.			
3832 (premature)	Borough	Para 4: Bringing the Council and/or office into disrepute	NFA	<p>Councillor not acting in official capacity to engage the CoC</p> <p>Action taken by leader of political group.</p>	Public	17.10.16	26.10.16
3762 (premature)	Borough	<p>Para 4: Bringing the Council and/or office into disrepute.</p> <p>Para 5: Using position as councillor to confer an advantage.</p>	NFA	<p>Councillor not acting in official capacity to engage the CoC.</p> <p>Councillor took voluntary action to avoid any confusion between public/private capacity.</p>	Public	5.11.16	11.11.16